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Due Date: October 10, 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	David E. Simmen	Examiner:	Cindy Nguyen
Serial No.:	10/807,871	Group Art Unit:	2161
Filed:	March 24, 2004	Docket:	STL919990184US3
Title:	QUERY OPTIMIZATION TECHNIQUE FOR OBTAINING IMPROVED CARDINALITY ESTIMATES USING STATISTICS ON PRE-DEFINED QUERIES		

## CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on October 10, 2006.

By:   
Name: George H. Gates

AMENDMENT UNDER 37 C.F.R. §1.111

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated July 10, 2006, please amend the above-identified application as follows.

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CENTRAL FAX CENTER**Gates & Cooper LLP**

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045

OCT 10 2006

**FAX TRANSMISSION TO USPTO**

TO: Commissioner for Patents  
Attn: Examiner Cindy Nguyen  
Patent Examining Corps  
Facsimile Center  
Alexandria, VA 22313-1450

FROM: George H. Gates  
OUR REF.: STL919990184US3  
TELEPHONE: (310) 642-4146

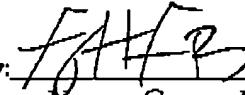
Total pages, including cover letter: 15

**PTO FAX NUMBER: 571-273-8300**

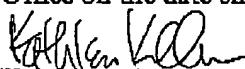
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Title of Document Transmitted:	TRANSMITTAL DOCUMENTS (2) AND AMENDMENT UNDER 37 C.F.R. §1.111
Applicant	David E. Simmen
Serial No.:	10/807,871
Filed:	March 24, 2004
Group Art Unit:	2161
Title:	QUERY OPTIMIZATION TECHNIQUE FOR OBTAINING IMPROVED CARDINALITY ESTIMATES USING STATISTICS ON PRE-DEFINED QUERIES
Our Ref. No.:	STL919990184US3

Please charge all fees to Deposit Account No. 09-0460 of IBM Corporation, the assignee of the present application.

By:   
Name: George H. Gates  
Reg. No.: 33,500

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Signature

10 OCT 2006

Date

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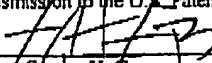
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David E. Simmen      Examiner: Cindy Nguyen  
 Serial No.: 10/807,871      Group Art Unit: 2161  
 Filed: March 24, 2004      Docket: STL919990184US3  
 Title: QUERY OPTIMIZATION TECHNIQUE FOR OBTAINING IMPROVED CARDINALITY ESTIMATES USING STATISTICS ON PRE-DEFINED QUERIES

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MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.  
 Amendment Under 37 C.F.R. §1.111.

## CLAIMS PRESENT

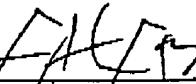
Claims Remaining:	Highest Number Previously Paid For:	Number Extra	Rate	Fee
<b>Total Claims</b>				
33	33	0	x \$50.00	= \$0.00
<b>Independent Claims</b>				
3	3	0	x \$200.00	= \$0.00
<b>MULTIPLE DEPENDENT CLAIM FEE</b>				\$0.00
<b>TOTAL FILING FEE</b>				\$0.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 09-0460 of IBM Corporation, the assignee of the present application. A duplicate of this paper is enclosed.

Customer Number 45729

GATES & COOPER LLP  
 Howard Hughes Center  
 6701 Center Drive West, Suite 1050  
 Los Angeles, CA 90045  
 (310) 641-8797

By:   
 Name: George H. Gates  
 Reg. No.: 33,500  
 GHG/kmk

G&C 30571.272-US-C1

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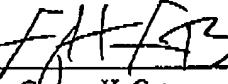
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